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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

) PATENT APPLICATION

Inventors: Gilbert, et al.

Appl. No.: 09/837,650


Filed: April 18, 2001

Title: A METHOD, SYSTEM, COMPUTER, AND
COMPUTER READABLE MEDIUM FOR
IDENTIFYING A PREFERRED DEFINED
CONTRIBUTION PLAN

) Customer No. 28554

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on August 10, 2001.


Kirk J. DeNiro, Reg. No. 35,854
Signature Date: August 10, 2001

8/10/01

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated June 14, 2001, enclosed are the following documents in connection with the above-identified application:

- X Copy of Notice to File Missing Parts of Nonprovisional Application
- X Declaration for Patent Application
- X Assignment and Assignment Recordation Form Cover Sheet
- X Power of Attorney

Total Fee

The Total Fee associated with this communication has been calculated as shown below:

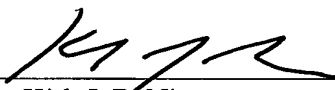
<u>—</u>	Patent application filing fee	\$
<u>—</u>	Net fee for extension of time	\$
<u>X</u>	Assignment recording fee (\$40.00)	\$
<u>X</u>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or declaration:	
<u>—</u>	Large Entity \$130.00	\$
<u>X</u>	Small Entity \$ 65.00	\$65.00
TOTAL FEE DUE:		\$105.00

Method of Payment of Fees

- X A check in the amount of the TOTAL FEE DUE is enclosed.
- X The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 501826. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: 8/10/01

By: 
Kirk J. DeNiro
Reg. No. 35,854

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,650	04/18/2001	David Gilbert	KONN-01000US0 KJD

CONFIRMATION NO. 9124

FORMALITIES LETTER



OC000000006180856

Kirk J. DeNiro, Esq.
Vierra Magen Marcus Harmon & DeNiro, LLP
Suite 540
685 Market Street
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Date Mailed: 06/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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